

# TRANSMITTAL FORM

Express Mail Mailing Label No. EV375068693US

|                           |                    |
|---------------------------|--------------------|
| Application Serial Number | 09/780,668         |
| Filing Date               | February 9, 2001   |
| First Named Inventor      | Gillies            |
| Group Art Unit            | 1644               |
| Examiner Name             | Saunders, David A. |
| Attorney Docket No.       | LEX-011            |

## ENCLOSURES (check all that apply)

|   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form   | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application  | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences |
| <input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Formal Drawing(s)  | <input type="checkbox"/> Appeal Brief (in triplicate)                                  |
| <input type="checkbox"/> Petition for Extension of Time   | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  | <input type="checkbox"/> Status Inquiry  |
| <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement (2 pgs.)<br><input checked="" type="checkbox"/> Form PTO-1449 (13 pgs.)<br><input checked="" type="checkbox"/> Copies of SIDS Citations (B108-B120; C268-C428)                                      | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)   | <input checked="" type="checkbox"/> Return Receipt Postcard                            |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8        |
| <input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above   | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application                        | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8     |
|   | <input type="checkbox"/> Small Entity Statement   | <input type="checkbox"/> Additional Enclosure(s) (please identify below)               |
|   | <input type="checkbox"/> CD(s) for large table or computer program  |  |
|   | <input type="checkbox"/> Amendment After Allowance  |  |
|   | <input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) |  |

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: January 11, 2005  
Reg. No. 48,645  
Tel. No.: (617) 248-7697  
Fax No.: (617) 248-7100

Respectfully submitted,

Brian A. Fairchild  
Attorney for Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110



Express Mail Mailing Label No. EV375068693US

PATENT

Attorney Docket No. LEX-011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gillies et al.  
SERIAL NO.: 09/780,668 GROUP NO.: 1644  
FILING DATE: Febraury 9, 2001 EXAMINER: Saunders, D.  
TITLE: Enhancing The Circulating Half-Life of Anti-body-Based Fusion Proteins

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the foreign patent documents and non-patent publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Respectfully submitted,



\_\_\_\_\_  
Brian A. Fairchild  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

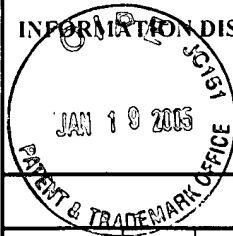
Date: January 19, 2005  
Reg. No. 48,645

Tel. No.: (617) 248-7697  
Fax No.: (617) 248-7100

3166716

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## U.S. PATENT DOCUMENTS

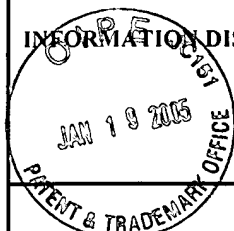
| EXAM.<br>INIT. |      | DOCUMENT<br>NUMBER | DATE     | NAME                     | CLASS | SUB<br>CLASS | FILING DATE<br>IF<br>APPROPRIATE |
|----------------|------|--------------------|----------|--------------------------|-------|--------------|----------------------------------|
|                | A114 | 4,522,811          | 6/11/85  | Eppstein <i>et al.</i>   |       |              |                                  |
|                | A115 | 4,667,016          | 5/19/87  | Lai <i>et al.</i>        |       |              |                                  |
|                | A116 | 4,732,683          | 3/22/88  | Georgiades <i>et al.</i> |       |              |                                  |
|                | A117 | 4,737,462          | 4/12/88  | Mark <i>et al.</i>       |       |              |                                  |
|                | A118 | 4,975,369          | 12/4/90  | Beavers <i>et al.</i>    |       |              |                                  |
|                | A119 | 5,082,658          | 1/21/92  | Palladino                |       |              |                                  |
|                | A120 | 5,091,513          | 2/25/92  | Huston <i>et al.</i>     |       |              |                                  |
|                | A121 | 5,399,346          | 3/21/95  | Anderson <i>et al.</i>   |       |              |                                  |
|                | A122 | 5,428,130          | 6/27/95  | Capon <i>et al.</i>      |       |              |                                  |
|                | A123 | 5,480,981          | 1/2/96   | Goodwin <i>et al.</i>    |       |              |                                  |
|                | A124 | 5,589,466          | 12/31/96 | Felgner <i>et al.</i>    |       |              |                                  |
|                | A125 | 5,614,184          | 3/25/97  | Sytkowski <i>et al.</i>  |       |              |                                  |
|                | A126 | 5,712,120          | 1/27/98  | Rodriguez <i>et al.</i>  |       |              |                                  |
|                | A127 | 5,738,852          | 4/14/98  | Robinson <i>et al.</i>   |       |              |                                  |
|                | A128 | 5,795,779          | 8/18/98  | McCormick <i>et al.</i>  |       |              |                                  |
|                | A129 | 5,827,703          | 10/27/98 | Debs <i>et al.</i>       |       |              |                                  |
|                | A130 | 5,837,821          | 11/17/98 | Wu <i>et al.</i>         |       |              |                                  |
|                | A131 | 5,888,773          | 3/30/99  | Jost <i>et al.</i>       |       |              |                                  |
|                | A132 | 6,281,010          | 8/28/01  | Gao <i>et al.</i>        |       |              |                                  |
|                | A133 | 6,291,158          | 9/18/01  | Winter <i>et al.</i>     |       |              |                                  |
|                | A134 | 6,627,615          | 9/30/03  | Debs <i>et al.</i>       |       |              |                                  |
|                | A135 | 2004/0180035       | 9/16/04  | Gillies <i>et al.</i>    |       |              |                                  |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

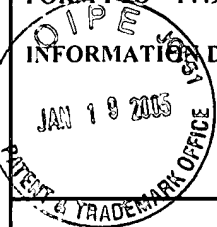
GROUP: 1644

## FOREIGN PATENT DOCUMENTS

| EXAM<br>INIT. |      | DOCUMENT<br>NUMBER | DATE     | COUNTRY<br>CODE | CLASS | SUB<br>CLASS | FILING<br>DATE | ABSTRACT<br>ONLY | ENGLISH<br>LANG<br>(Y/N) |
|---------------|------|--------------------|----------|-----------------|-------|--------------|----------------|------------------|--------------------------|
|               | B108 | 0 344 134 B1       | 01/19/94 | EP              |       |              |                |                  |                          |
|               | B109 | 0 428 267 B1       | 12/11/96 | EP              |       |              |                |                  |                          |
|               | B110 | 0 428 596 B1       | 4/17/96  | EP              |       |              |                |                  |                          |
|               | B111 | 0 433 827 B1       | 3/14/98  | EP              |       |              |                |                  |                          |
|               | B112 | 0 519 596 A1       | 12/23/92 | EP              |       |              |                |                  |                          |
|               | B113 | 0 668 351 B1       | 9/22/99  | EP              |       |              |                |                  |                          |
|               | B114 | 0 699 755 B1       | 4/28/04  | EP              |       |              |                |                  |                          |
|               | B115 | 2 188 638 A        | 10/7/87  | GB              |       |              |                |                  |                          |
|               | B116 | WO 92/10755        | 6/25/92  | PCT             |       |              |                |                  |                          |
|               | B117 | WO 94/25609        | 11/10/94 | PCT             |       |              |                |                  |                          |
|               | B118 | WO 96/40792        | 12/19/96 | PCT             |       |              |                |                  |                          |
|               | B119 | WO 98/52976        | 11/26/98 | PCT             |       |              |                |                  |                          |
|               | B120 | WO 00/01822        | 1/13/00  | PCT             |       |              |                |                  |                          |

EXAMINER

DATE CONSIDERED

|  |   |   |  |
|--|---|---|--|
| <b>FORM PTO - 1449</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br> |   | ATTORNEY DOCKET NO.: LEX-011<br><br>APPLICANT(S): Gillies et al.<br><br>SERIAL NO.: 09/780,668      CONF. NO.: 8264<br><br>FILING DATE: February 9, 2001      GROUP: 1644   |  |
| <b>OTHER ART, JOURNAL ARTICLES ETC.</b>  |   |   |  |
| <b>EXAM. INIT.</b>   | <b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b> |   |  |
|  | C268  | Aichele <i>et al.</i> , (1994), "Peptide-Induced T-Cell Tolerance to Prevent Autoimmune Diabetes in a Transgenic Mouse Model," <u>Proc. Natl. Acad. Sci. USA</u> , 91:444-448.  |  |
|  | C269  | Altschul <i>et al.</i> , (1990), "Basic Local Alignment Search Tool," <u>J. Mol. Biol.</u> , 215:403-10.  |  |
|  | C270  | Altschul <i>et al.</i> , (1997), "Gapped BLAST and PSI-BLAST: A New Generation of Protein Database Search Programs," <u>Nucleic Acids Res.</u> , 25(17):3389-402.   |  |
|  | C271  | Anderson <i>et al.</i> , (1980), "Characterization of the Fc Receptor for IgG on a Human Macrophage Cell Line U937," <u>J. Immunol.</u> , 125:2735-41.  |  |
|  | C272  | Anderson <i>et al.</i> , (1994), "Effects of Route and Formulation on Clinical Pharmacokinetics of Interleukin-2," <u>Clin. Pharmacokinet.</u> , 27(1):19-31.   |  |
|  | C273  | Baici <i>et al.</i> , (1980), "Kinetics of the Different Susceptibilities of the Four Human Immunoglobulin G Subclasses to Proteolysis by Human Lysosomal Elastase," <u>Scand. J. Immunol.</u> , 12(1):41-50.                   |  |
|  | C274  | Barbulescu <i>et al.</i> , (1998), "IL-12 and IL-18 Differentially Regulate the Transcriptional Activity of the Human IFN- $\gamma$ Promoter in Primary CD4 <sup>+</sup> T Lymphocytes," <u>J. Immunol.</u> , 160:3642-7.       |  |
|  | C275  | Becker <i>et al.</i> , (1996), "An Antibody-Interleukin 2 Fusion Protein Overcomes Tumor Heterogeneity by Induction of a Cellular Immune Response," <u>Proc. Natl. Acad. Sci. USA</u> , 93:7826-7831.                           |  |
|  | C276  | Bednarek <i>et al.</i> , (1991), "Soluble HLA-A2.1 Restricted Peptides that are Recognized by Influenza Virus Specific Cytotoxic T Lymphocytes," <u>J. Immunol. Methods</u> , 139:41-47.  |  |
|  | C277  | Benacerraf <i>et al.</i> , (1959), "The Clearance of Antigen Antibody Complexes from the Blood by the Reticuloendothelial System," <u>J. Immunol.</u> , 82:131-7.   |  |
|  | C278  | Bohm, (1994), "On the Use of LUDI to Search the Fine Chemicals Directory for Ligands of Proteins of Known Three-Dimensional Structure," <u>J. Comput. Aided Mol. Des.</u> , 8:623-32.   |  |
|  | C279  | Bohm, (1994), "The Development of a Simple Empirical Scoring Function to Estimate the Binding Constant for a Protein-Ligand Complex of Known Three-Dimensional Structure," <u>J. Comput. Aided Mol. Des.</u> , 8:243-56.        |  |
|  | C280  | Bohm, (1998), "Prediction of Binding Constants of Protein Ligands: A Fast Method for the Prioritization of Hits Obtained from De Novo Design or 3D Database Search Programs," <u>J. Comput. Aided Mol. Des.</u> , 12(4):309-23. |  |
|  | C281  | Boshart <i>et al.</i> , (1985), "A Very Strong Enhancer is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus," <u>Cell</u> , 41:521-530.   |  |
|  | C282  | Boulianne <i>et al.</i> , (1984), "Production of Functional Chimaeric Mouse/Human Antibody," <u>Nature</u> , 312:643-6.   |  |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## OTHER ART, JOURNAL ARTICLES ETC.

EXAM.  
INIT.

## OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

- |      |   |
|------|---|
| C283 | Bourgois <i>et al.</i> , (1974), "Determination of the Primary Structure of a Mouse IgG2a Immunoglobulin Amino Acid Sequence of the Fc Fragment: Implications for the Evolution of Immunoglobulin Structure and Function," <u>Eur. J. Biochem.</u> , 43:423-35. |
| C284 | Brambell <i>et al.</i> , (1964), "A Theoretical Model of Gamma-Globulin Catabolism," <u>Nature</u> , 203:1352-54.   |
| C285 | Brazolot Millan <i>et al.</i> , (1998), "Cpg DNA Can Induce Strong TH1 Humoral and Cell-Mediated Immune Responses against Hepatitis B Surface Antigen in Young Mice," <u>Proc. Natl. Acad. Sci. USA</u> , 95:15553-8.   |
| C286 | Brekke <i>et al.</i> , (1994), "Human IgG Isotype-Specific Amino Acid Residues Affecting Complement-Mediated Cell Lysis and Phagocytosis," <u>Eur. J. Immunol.</u> , 24:2542-2547.  |
| C287 | Brem <i>et al.</i> , (1993), "The Combination of Antiangiogenic Agents to Inhibit Primary Tumor Growth and Metastasis," <u>J. Pediatr. Surg.</u> , 28:1253-7.   |
| C288 | Brocklebank <i>et al.</i> , (2001), "Enumeration of CD34+ Cells in Cord Blood: A Variation on a Single-Platform Flow Cytometric Method Based on the ISHAGE Gating Strategy," <u>Cytometry</u> , 46(4):254-61.   |
| C289 | Brooks <i>et al.</i> , (1983), "CHARMM: A Program for Macromolecular Energy Minimization and Dynamics Calculations," <u>J. Comput. Chemistry</u> , 4:187-217.   |
| C290 | Broudy <i>et al.</i> , (1988), "Recombinant Human Erythropoietin: Purification and Analysis of Carbohydrate Linkage," <u>Arch. Biochem. Biophys.</u> , 265:329-36.  |
| C291 | Bubenik <i>et al.</i> , (1995), "Interleukin-2 Gene Therapy of Residual EL-4 Leukaemia Potentiates the Effect of Cyclophosphamide Pretreatment," <u>J. Cancer Res. Clin. Oncol.</u> , 121:39-43.  |
| C292 | Bumol <i>et al.</i> , (1982), "Unique Glycoprotein-Proteoglycan Complex Defined by Monoclonal Antibody on Human Melanoma Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 79:1245-9.   |
| C293 | Carnemolla <i>et al.</i> , (1989), "A Tumor-Associated Fibronectin Isoform Generated by Alternative Splicing of Messenger RNA Precursors," <u>J. Cell. Biol.</u> , 108:1139-1148.   |
| C294 | Carnemolla <i>et al.</i> , (1992), "The Inclusion of the Type III Repeat ED-B in the Fibronectin Molecule Generates Conformational Modifications that Unmask a Cryptic Sequence," <u>J. Biol. Chem.</u> , 267(34):24689-24692.                                  |
| C295 | Cazzola <i>et al.</i> , (1998), "Red Blood Cell Precursor Mass as an Independent Determinant of Serum Erythropoietin Level," <u>Blood</u> , 91:2139-45.   |
| C296 | Chan <i>et al.</i> , (1992), "Mechanisms of IFN-Gamma Induction by Natural Killer Cell Stimulatory Factor (NKSF/IL-12). Role of Transcription and mRNA Stability in the Synergistic Interaction Between NKSF and IL-2," <u>J. Immunol.</u> , 148:92-98.         |
| C297 | Chappel <i>et al.</i> , (1991), "Identification of the Fc Gamma Receptor Class I Binding Site In Human IgG Through Use of Recombinant IgG1/IgG2 Hybrid and Point-Mutated Antibodies," <u>Proc. Natl. Acad. Sci. USA</u> , 88(20):9036-40.                       |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## OTHER ART, JOURNAL ARTICLES ETC.

EXAM.  
INIT.

## OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

|      |  |
|------|--|
| C298 | Cheetham, (1998), "NMR Structure of Human Erythropoietin and a Comparison with its Receptor Bound Conformation," <u>Nat. Struct. Biol.</u> , 5:861-6.  |
| C299 | Ciardiello <i>et al.</i> , (1996), "Antitumor Activity of Combined Blockade of Epidermal Growth Factor Receptor and Protein Kinase A," <u>J. Natl. Cancer Inst.</u> , 88:1770-6.   |
| C300 | Cirulli <i>et al.</i> , (1998), "KSA Antigen Ep-CAM Mediates Cell-Cell Adhesion of Pancreatic Epithelial Cells: Morphoregulatory Roles in Pancreatic Islet Development," <u>J. Cell Biol.</u> , 140:1519-34.   |
| C301 | Cohen <i>et al.</i> , (1998), "An Artificial Cell-Cycle Inhibitor Isolated from a Combinatorial Library," <u>Proc. Natl. Acad. Sci. USA</u> , 95:14272-7.  |
| C302 | Congote <i>et al.</i> , (1984), Abstract 364 in "Proceedings 7 <sup>th</sup> Intl. Congress of Endocrinology," Quebec City, Quebec.  |
| C303 | Congote, (1983), "Isolation of Two Biologically Active Peptides, Erythropoietin I and Erythropoietin II from Fetal Calf Intestine," <u>Biochem. Biophys. Res. Commun.</u> , 115(2):477-83.   |
| C304 | Congote, (1984), "Extraction from Fetal Bovine Serum of Erythropoietin, an Erythroid Cell-Stimulating Factor," <u>Anal. Biochem.</u> , 140:428-33.   |
| C305 | Cosenza <i>et al.</i> , (1997), "Disulfide Bond Assignment in Human Interleukin-7 by Matrix-Assisted Laser Desorption/Ionization Mass Spectroscopy and Site-Directed Cysteine to Serine Mutational Analysis," <u>J. Biol. Chem.</u> , 272:32995-3000.          |
| C306 | Cunningham <i>et al.</i> , (1989), "High-Resolution Epitope Mapping of Hgh-Receptor Interactions by Alanine-Scanning Mutagenesis," <u>Science</u> , 244:1081-85.   |
| C307 | Curiel <i>et al.</i> , (1991), "Adenovirus Enhancement of Transferrin-Polylysine-Mediated Gene Delivery," <u>Proc. Natl. Acad. Sci. USA</u> , 88:8850-4.   |
| C308 | Dauber-Osguthorpe <i>et al.</i> , (1988), "Structure and Energetics of Ligand Binding to Proteins: Escherichia Coli Dihydrofolate Reductase-Trimethoprim, A Drug-Receptor System," <u>Proteins</u> , 4(1):31-47.   |
| C309 | Daugherty <i>et al.</i> , (1991), "Polymerase Chain Reaction Facilitates the Cloning, CDR-Grafting, and Rapid Expression of a Murine Monoclonal Antibody Directed Against the CD18 Component of Leukocyte Integrins," <u>Nucleic Acid Res.</u> , 19:2471-2476. |
| C310 | De Bruijn <i>et al.</i> , (1995), "Phagocyte-Induced Antigen-Specific Activation of Unprimed CD8+ T Cells in Vitro," <u>Eur. J. Immunol.</u> , 25:1274-85.   |
| C311 | Delorme <i>et al.</i> , (1992), "Role of Glycosylation on the Secretion and Biological Activity of Erythropoietin," <u>Biochemistry</u> , 31:9871-6.   |
| C312 | Desai <i>et al.</i> , (1992), "IL-12 Receptor. II. Distribution and Regulation of Receptor Expression," <u>J. Immunol.</u> , 148:3125-32.  |

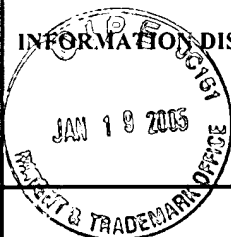
EXAMINER

DATE CONSIDERED



FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## OTHER ART, JOURNAL ARTICLES ETC.

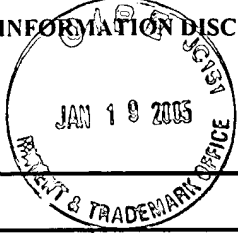
EXAM.  
INIT.

OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

|      |   |
|------|---|
| C313 | Donnelly <i>et al.</i> , (1993), "Targeted Delivery of Peptide Epitopes to Class I Major Histocompatibility Molecules by a Modified Pseudomonas Exotoxin," <u>Proc. Natl. Acad. Sci. USA</u> , 90:3530-4.                                 |
| C314 | Donnelly <i>et al.</i> , (1997), "DNA Vaccines," <u>Annu. Rev. Immunol.</u> , 15:617-48.  |
| C315 | Dube <i>et al.</i> , (1988), "Glycosylation at Specific Sites of Erythropoietin is Essential for Biosynthesis, Secretion, and Biological Function," <u>J. Biol. Chem.</u> , 263:17516-21.   |
| C316 | Ellison <i>et al.</i> , (1982), "The Nucleotide Sequence of a Human Immunoglobulin C Gamma1 Gene," <u>Nucleic Acids Res.</u> , 10:4071-9.   |
| C317 | Faas <i>et al.</i> , (1993), "Phenotypically Diverse Mouse Thymic Stromal Cell Lines which Induce Proliferation and Differentiation Of Hematopoietic Cells," <u>Eur. J. Immunol.</u> , 23:1201-14.  |
| C318 | Farner <i>et al.</i> , (1995), "Distinction Between Gamma C Detection and Function in YT Lymphoid Cells and in the Granulocyte-Macrophage Colony-Stimulating Factor-Responsive Human Myeloid Cell Line, Tf-1," <u>Blood</u> , 86:4568-78. |
| C319 | Fawell <i>et al.</i> , (1994), "Tat-Mediated Delivery of Heterologous Proteins into Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 91:664-8.   |
| C320 | Fu <i>et al.</i> , (1993), "The Sheep Erythropoietin Gene: Molecular Cloning and Effect of Hemorrhage on Plasma Erythropoietin and Renal/Liver Messenger RNA in Adult Sheep," <u>Mol. Cell. Endocrinol.</u> , 93:107-16.                  |
| C321 | Gainsford <i>et al.</i> , (1996), "Leptin Can Induce Proliferation, Differentiation, and Functional Activation of Hemopoietic Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 93:14564-14568.   |
| C322 | Gammon <i>et al.</i> , (1992), "Endogenous Loading of HLA-A2 Molecules with an Analog of the Influenza Virus Matrix Protein-Derived Peptide and Its Inhibition By An Exogenous Peptide Antagonist," <u>J. Immunol.</u> , 148:7-12.        |
| C323 | Ghetie <i>et al.</i> , (1990), "Disseminated or Localized Growth of a Human B-Cell Tumor (Daudi) in SCID Mice," <u>Intl. J. Cancer</u> , 45:481.  |
| C324 | Ghetie <i>et al.</i> , (1997), "FcRn: The MHC Class I-Related Receptor that is More Than an IgG Transporter," <u>Immunology Today</u> , 18(12):592-598.   |
| C325 | Gillies <i>et al.</i> , (1991), "Expression of Genetically Engineered Immunoconjugates of Lymphotoxin and a Chimeric Anti-Ganglioside GD2 Antibody," <u>Hybridomas</u> , 10(3):347-56.  |
| C326 | Goldwasser <i>et al.</i> , (1971), "Purification of Erythropoietin," <u>Proc. Natl. Acad. Sci. USA</u> , 68:697-8.  |
| C327 | Goldwasser <i>et al.</i> , (1975), "Erythropoietin: Assay and Study of its Mode of Action," <u>Methods Enzymol.</u> , 37(Ptb):109-21.   |
| C328 | Halin <i>et al.</i> , (2002), "Enhancement of the Antitumor Activity of Interleukin-12 by Targeted Delivery to Neovasculature," <u>Nature Biotechnology</u> , 20:264-269.   |

EXAMINER

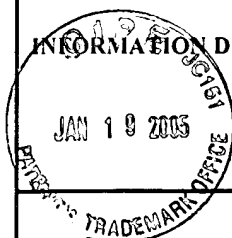
DATE CONSIDERED

|   |  |  |                 |
|---|--|--|-----------------|
| FORM PTO - 1449   |  | ATTORNEY DOCKET NO.: LEX-011   |                 |
| INFORMATION DISCLOSURE STATEMENT  |  | APPLICANT(S): Gillies et al.   |                 |
|  |  | SERIAL NO.: 09/780,668   | CONF. NO.: 8264 |
|   |  | FILING DATE: February 9, 2001  | GROUP: 1644     |
| OTHER ART, JOURNAL ARTICLES ETC.  |  |  |                 |
| EXAM. INIT.   | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) |  |                 |
|   | C329   | Handgretinger <i>et al.</i> , (2001), "Immunological Aspects of Haploidentical Stem Cell Transplantation in Children," <u>Ann. NY Acad. Sci.</u> , 938:340-57.   |                 |
|   | C330   | Hashimoto <i>et al.</i> , (1999), "Differential Antitumor Effects of Administration of Recombinant IL-18 or Recombinant IL-12 are Mediated Primarily by Fas-Fas Ligand- and Perforin-Induced Tumor Apoptosis, Respectively," <u>J. Immunol.</u> , 163:583-9. |                 |
|   | C331   | Henikoff <i>et al.</i> , (1992), "Amino Acid Substitution Matrices from Protein Blocks," <u>Proc. Natl. Acad. Sci. USA</u> , 89:10915-10919.   |                 |
|   | C332   | Hilgers <i>et al.</i> , (1999), "Sulfolipo-Cyclodextrin in Squalane-In-Water as a Novel and Safe Vaccine Adjuvant," <u>Vaccine</u> , 17:219-28.  |                 |
|   | C333   | Holden <i>et al.</i> , (2001), "Augmentation of Anti-Tumor Activity of KS-IL2 Immunocytokine with Chemotherapeutic Agents," <u>Proceedings of the American Association for Cancer Research</u> , 42:683, Abstract No. 3675 (XP-002195344).                   |                 |
|   | C334   | Hori <i>et al.</i> , (1987), "Establishment of an Interleukin 2-Dependent Human T Cell Line from a Patient with T Cell Chronic Lymphocytic Leukemia Who is Not Infected with Human T Cell Leukemia/Lymphoma Virus," <u>Blood</u> , 70:1069-72.               |                 |
|   | C335   | Hulett <i>et al.</i> , (1994), "Molecular Basis of Fc Receptor Function," <u>Adv. Immunol.</u> , 57:1127.  |                 |
|   | C336   | Huston <i>et al.</i> , (1988), "Protein Engineering of Antibody Binding Sites: Recovery of Specific Activity in an Anti-Digoxin Single-Chain Fv Analogue Produced In <i>Escherichia Coli</i> ," <u>Proc. Natl. Acad. Sci. USA</u> , 85:5879.                 |                 |
|   | C337   | Isaacs <i>et al.</i> , (1998), "Therapy with Monoclonal Antibodies. II. The Contribution of Fc $\gamma$ Receptor Binding and the Influence of CH1 and CH3 Domains on In Vivo Effector Function," <u>J. Immunol.</u> , 161:3862-3869.                         |                 |
|   | C338   | Jacobs <i>et al.</i> , (1985), "Isolation and Characterization of Genomic And cDNA Clones of Human Erythropoietin," <u>Nature</u> , 313:806-10.  |                 |
|   | C339   | Jefferis <i>et al.</i> , (1990), "Molecular Definition of Interaction Sites on Human IgG for Fc Receptors huFc $\gamma$ R," <u>Mol. Immunol.</u> , 27(12):1237-1240.   |                 |
|   | C340   | Karlin <i>et al.</i> , (1990), "Methods for Assessing the Statistical Significance of Molecular Sequence Features by Using General Scoring Schemes," <u>Proc. Natl. Acad. Sci. USA</u> , 87:2264-8.  |                 |
|   | C341   | Karlin <i>et al.</i> , (1993), "Applications and Statistics for Multiple High-Scoring Segments in Molecular Sequences," <u>Proc. Natl. Acad. Sci. USA</u> , 90:5873-7.   |                 |
|   | C342   | Karpusas <i>et al.</i> , (1997), "The Crystal Structure of Human Interferon $\beta$ at 2.2-Å Resolution," <u>Proc. Natl. Acad. Sci. USA</u> , 94:11813-11818.  |                 |
|   | C343   | Kelner <i>et al.</i> , (1994), "Lymphotoxin: A Cytokine that Represents a New Class of Chemokine," <u>Science</u> , 266:1395-9.  |                 |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## OTHER ART, JOURNAL ARTICLES ETC.

EXAM.  
INIT.

## OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

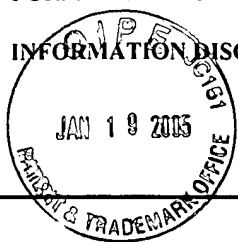
|      |  |
|------|--|
| C344 | Kirkman <i>et al.</i> , (1989), "Prolongation of Cardiac Allograft Survival in Murine Recipients Treated with a Diphtheria Toxin-Related Interleukin-2 Fusion Protein," <u>Transplantation</u> , 47(2):327-330.  |
| C345 | Klinman <i>et al.</i> , (1997), "Contribution of CPG Motifs to the Immunogenicity of DNA Vaccines," <u>J. Immunol.</u> , 158:3635-9.   |
| C346 | Kuntz <i>et al.</i> , (1982), "A Geometric Approach to Macromolecule-Ligand Interactions," <u>J. Mol. Biol.</u> , 161:269-88.  |
| C347 | Kurtz, (1982), "A New Candidate for the Regulation of Erythropoiesis. Insulin-Like Growth Factor I," <u>FEBS Lett.</u> , 149(1):105-8.   |
| C348 | Lai <i>et al.</i> , (1986), "Structural Characterization of Human Erythropoietin," <u>J. Biol. Chem.</u> , 261:3116-21.  |
| C349 | Lai <i>et al.</i> , (1998), "DNA Vaccines," <u>Crit. Rev. Immunol.</u> , 18:449-84.  |
| C350 | Lanza <i>et al.</i> , (1993), "Active Immunity against the CD4 Receptor by Using an Antibody Antigenized with Residues 41-55 of the First Extracellular Domain," <u>Proc. Natl. Acad. Sci. USA</u> , 90:11683-7.   |
| C351 | Lawn <i>et al.</i> , (1981), "DNA Sequence of a Major Human Leukocyte Interferon Gene," <u>Proc. Natl. Acad. Sci. USA</u> , 78:5435-9.   |
| C352 | Lin <i>et al.</i> , (1985), "Cloning and Expression of the Human Erythropoietin Gene," <u>Proc. Natl. Acad. Sci. USA</u> , 82:7580-4.  |
| C353 | Lin <i>et al.</i> , (1986), "Monkey Erythropoietin Gene: Cloning, Expression and Comparison with the Human Erythropoietin Gene," <u>Gene</u> , 44:201-9.   |
| C354 | Lode <i>et al.</i> , (1998), "Gene Therapy with a Single Chain Interleukin 12 Fusion Protein Induces T Cell-Dependent Protective Immunity in a Syngeneic Model of Murine Neuroblastoma," <u>Proc. Natl. Acad. Sci. USA</u> , 95:2475-80.   |
| C355 | Lorenz <i>et al.</i> , (1999), "Induction of Anti-Tumor Immunity Elicited by Tumor Cells Expressing a Murine LFA-3 Analog Via a Recombinant Vaccinia Virus," <u>Hum. Gene Ther.</u> , 10:623-31.   |
| C356 | Lotze <i>et al.</i> , (1996), "Cytokine Gene Therapy of Cancer Using Interleukin-12: Murine and Clinical Trials," <u>Ann. NY Acad. Sci.</u> , 795:440-54.  |
| C357 | Macdougall <i>et al.</i> , (1999), "Pharmacokinetics of Novel Erythropoiesis Stimulating Protein Compared with Epoetin Alfa in Dialysis Patients," <u>J. Am. Soc. Nephrol.</u> , 10:2392-5.  |
| C358 | MacLean <i>et al.</i> , (1996), "Enhancing the Effect of Theratope STn-KLH Cancer Vaccine in Patients with Metastatic Breast Cancer by Pretreatment with Low-Dose Intravenous Cyclophosphamide," <u>J. Immunother.</u> , 19(4):309-316.  |
| C359 | Maghazachi <i>et al.</i> , (1997), "Interferon-Inducible Protein-10 and Lymphotactin Induce the Chemotaxis and Mobilization of Intracellular Calcium in Natural Killer Cells through Pertussis Toxin-Sensitive and -Insensitive Heterotrimeric G-Proteins," <u>FASEB J.</u> , 11:765-74. |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## OTHER ART, JOURNAL ARTICLES ETC.

EXAM.  
INIT.

## OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

|      |   |
|------|---|
| C360 | Maloy <i>et al.</i> , (2001), "Regulatory T Cells in the Control of Immune Pathology," <u>Nature Immunol.</u> , 2:816-22.   |
| C361 | Mariani <i>et al.</i> , (1997), "Tumor Targeting Potential of the Monoclonal Antibody BC-1 against Oncofetal Fibronectin in Nude Mice Bearing Human Tumor Implants," <u>Cancer</u> , 80:2378-84.  |
| C362 | Marshall <i>et al.</i> , (1995), "Prediction of Peptide Affinity to HLA-DR Molecules," <u>Biomed. Pept. Proteins Nucleic Acids</u> , 1(3):157-62.   |
| C363 | Marshall, K.W., (1994), "Role of the Polymorphic Residues in HLA-DR Molecules in Allele-Specific Binding of Peptide Ligands," <u>J. Immunol.</u> , 152:4946-57.                                   |
| C364 | Martin <i>et al.</i> , (2001), "Crystal Structure at 2.8 Å of an FcRn/Heterodimeric Fc Complex: Mechanism of pH-Dependent Binding," <u>Mol. Cell.</u> , 7(4):867-77.                              |
| C365 | McDonald, (1986), "Cloning, Sequencing, and Evolutionary Analysis of the Mouse Erythropoietin Gene," <u>Mol. Cell. Biol.</u> , 6:842-8.   |
| C366 | McGonigle <i>et al.</i> , (1984), "Erythropoietin Deficiency and Inhibition of Erythropoiesis in Renal Insufficiency," <u>Kidney Int.</u> , 25(2):437-44.   |
| C367 | McMahan <i>et al.</i> , (1991), "A Novel IL-1 Receptor, Cloned From B-Cells by Mammalian Expression is Expressed in Many Cell Types," <u>EMBO J.</u> , 10:2821-32.                                |
| C368 | McMahon <i>et al.</i> , (1990), "Pharmacokinetics and Effects of Recombinant Human Erythropoietin after Intravenous and Subcutaneous Injections in Healthy Volunteers," <u>Blood</u> , 76:1718-22 |
| C369 | Mehrotra <i>et al.</i> , (1993), "Effects of IL-12 on the Generation of Cytotoxic Activity in Human CD8+ T Lymphocytes," <u>J. Immunol.</u> , 151:2444-52.  |
| C370 | Menard <i>et al.</i> , (1983), "Generation of Monoclonal Antibodies Reacting with Normal and Cancer Cells of Human Breast," <u>Cancer Res.</u> , 43:1295-300.                                     |
| C371 | Miyake <i>et al.</i> , (1977), "Purification of Human Erythropoietin," <u>J. Biol. Chem.</u> , 252:5558-64.   |
| C372 | Morrison <i>et al.</i> , (1984), "Chimeric Human Antibody Molecules: Mouse Antigen-Binding Domains with Human Constant Region Domains," <u>Proc. Natl. Acad. Sci. USA</u> , 81:6851-5.            |
| C373 | Nagao <i>et al.</i> , (1992), "Nucleotide Sequence of Rat Erythropoietin," <u>Biochim. Biophys. Acta</u> , 1171(1):99-102.  |
| C374 | Nastala <i>et al.</i> , (1994), "Recombinant IL-12 Administration Induces Tumor Regression in Association with IFN-Gamma Production," <u>J. Immunol.</u> , 153:1697-706.                          |
| C375 | Naughton <i>et al.</i> , (1983), "Evidence for an Erythropoietin-Stimulating Factor in Patients with Renal and Hepatic Disease," <u>Acta. Haematol.</u> , 69:171-9.                               |
| C376 | Noguchi <i>et al.</i> , (1994), "A Mouse Mutant P53 Product Recognized by CD4+ and CD8+ T Cells," <u>Proc. Natl. Acad. Sci. USA</u> , 91:3171-5.  |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## OTHER ART, JOURNAL ARTICLES ETC.

EXAM.  
INIT.

## OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

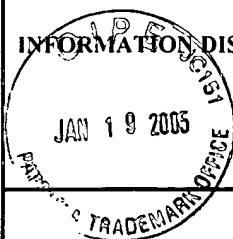
|      |   |
|------|---|
| C377 | Orlandi <i>et al.</i> , (1989), "Cloning Immunoglobulin Variable Domains for Expression by the Polymerase Chain Reaction," <u>Proc. Natl. Acad. Sci. USA</u> , 86:3833-7.   |
| C378 | Palmer <i>et al.</i> , (2001), "Phase I Study of the BLP 25 (MUC1 Peptide) Liposomal Vaccine for Active Specific Immunotherapy in Stage IIIB/IV Non-Small-Cell Lung Cancer," <u>Clinical Lung Cancer</u> , 3(1):49-57.                        |
| C379 | Palucka <i>et al.</i> , (1998), "Dendritic Cells as the Terminal Stage of Monocyte Differentiation," <u>J. Immunol.</u> , 160:4587-95.  |
| C380 | Panina-Bordignon <i>et al.</i> , (1989), "Universally Immunogenic T Cell Epitopes: Promiscuous Binding to Human MHC Class II and Promiscuous Recognition by T Cells," <u>Eur. J. Immunol.</u> , 19:2237-42                                    |
| C381 | Pavlovic-Kentera <i>et al.</i> , (1980), "Effects of Prostaglandin Synthetase Inhibitors, Salt Overload and Renomedullary Dissection on the Hypoxia Stimulated Erythropoietin Production in Rats," <u>Expt. Hematol.</u> , 8(Supp. 8):283-92. |
| C382 | Pedley <i>et al.</i> (1999), "Enhancement of Antibody-Directed Enzyme Prodrug Therapy in Colorectal Xenografts by an Antivascular Agent," <u>Cancer Res.</u> , 59:3998-4003.  |
| C383 | Perussia <i>et al.</i> , (1992), "Natural Killer (NK) Cell Stimulatory Factor or IL-12 Has Differential Effects on the Proliferation of TCR-Alpha Beta+, TCR-Gamma Delta+ T Lymphocytes, and NK Cells," <u>J. Immunol.</u> , 149:3495-502.    |
| C384 | Pluschke <i>et al.</i> , (1996), "Molecular Cloning of a Human Melanoma-Associated Chondroitin Sulfate Proteoglycan," <u>Proc. Natl. Acad. Sci. USA</u> , 93:9710-5.  |
| C385 | Poon <i>et al.</i> , (1995), "Structure and Function of Several Anti-Dansyl Chimeric Antibodies Formed by Domain Interchanges Between Human IgM and Mouse IgG2b," <u>J. Biol. Chem.</u> , 270:8571-7.   |
| C386 | Queen <i>et al.</i> , (1989), "A Humanized Antibody that Binds to the Interleukin 2 Receptor," <u>Proc. Natl. Acad. Sci. USA</u> , 86:10029-33.   |
| C387 | Radhakrishnan <i>et al.</i> , (1996), "Zinc Mediated Dimer of Human Interferon-Alpha 2b Revealed by X-Ray Crystallography," <u>Structure</u> 4:1453-63.   |
| C388 | Ramachandran <i>et al.</i> , (1968) "Conformation of Polypeptides and Proteins," <u>Adv. Prot. Chem.</u> , 23:283-438. (1968) (At Pgs. 285-94).   |
| C389 | Rarey <i>et al.</i> , (1995), "Time-Efficient Docking of Flexible Ligands into Active Sites Of Proteins," <u>Proc. Int. Conf. Intell. Syst. Mol. Biol.</u> 3:300-8.   |
| C390 | Resegotti <i>et al.</i> , (1981), "Treatment of Aplastic Anaemia with Methenolone, Stanazolol and Nandrolone. A Report of 130 Cases," <u>Panminerva Med.</u> , 23:243-8.  |
| C391 | Riechmann <i>et al.</i> , (1988), "Reshaping Human Antibodies for Therapy," <u>Nature</u> , 332:323-7.  |
| C392 | Robinson <i>et al.</i> , (1998), "Optimizing the Stability of Single-Chain Proteins by Linker Length and Composition Mutagenesis," <u>Proc. Natl. Acad. Sci. USA</u> , 95:5929-34.  |

EXAMINER

DATE CONSIDERED

FORM PTO - 1449

## INFORMATION DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: LEX-011

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF. NO.: 8264

FILING DATE: February 9, 2001

GROUP: 1644

## OTHER ART, JOURNAL ARTICLES ETC.

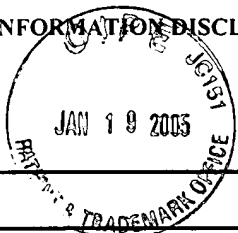
EXAM.  
INIT.

## OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

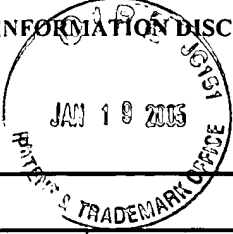
|      |   |
|------|---|
| C393 | Rothmann et al., (1982), "Erythropoietin-Dependent Erythrocytosis associated with Hepatic Angiosarcoma," <u>J. Surg. Oncol.</u> , 20:105-8.   |
| C394 | Runkel et al., (1998), "Structural and Functional Differences Between Glycosylated and Non-Glycosylated Forms of Human Interferon- $\beta$ (IFN- $\beta$ )," <u>Pharmaceutical Res.</u> , 15:641-649.   |
| C395 | Sakano et al., (1980), "Two Types of Somatic Recombination are Necessary for the Generation of Complete Immunoglobulin Heavy-Chain Genes," <u>Nature</u> , 286:676-683.   |
| C396 | Sali et al., (1993), "Comparative Protein Modelling by Satisfaction of Spatial Restraints," <u>J. Mol. Biol.</u> , 234:779-815.   |
| C397 | Schechter et al., (1997), "Tissue Factor is Induced by Monocyte Chemoattractant Protein-1 in Human Aortic Smooth Muscle And THP-1 Cells," <u>J. Biol. Chem.</u> , 272:28568-73.   |
| C398 | Senior et al., (2000), "Cleavage of a Recombinant Human Immunoglobulin A2 (IgA2)-IgA1 Hybrid Antibody by Certain Bacterial IgA1 Proteases," <u>Infect. Immun.</u> , 68(2):463-9.  |
| C399 | Sharp et al., (1988), "Codon Usage Patterns in <i>Escherichia coli</i> , <i>Bacillus subtilis</i> , <i>Saccharomyces cerevisiae</i> , <i>Schizosaccharomyces pombe</i> , <i>Drosophila melanogaster</i> and <i>Homo sapiens</i> ; a Review of the Considerable Within-Species Diversity," <u>Nucleic Acids Res.</u> , 16(17):8207-8211. |
| C400 | Simonsen et al., (1983), "Isolation and Expression of an Altered Mouse Dihydrofolate Reductase cDNA," <u>Proc. Natl. Acad. Sci. USA</u> , 80:2495-2499.   |
| C401 | Smith et al., (1981), "Identification of Common Molecular Subsequences," <u>J. Mol. Biol.</u> , 147:195-197.  |
| C402 | Soligo et al., (1998), "Expansion of Dendritic Cells Derived from Human CD34+ Cells in Static And Continuous Perfusion Cultures," <u>Br. J. Haematol.</u> , 101:352-63.   |
| C403 | Spivak et al., (1989), "The In Vivo Metabolism of Recombinant Human Erythropoietin in The Rat," <u>Blood</u> , 73:90-9.   |
| C404 | Sturniolo et al., (1999), "Generation of Tissue-Specific and Promiscuous HLA Ligand Databases Using DNA Microarrays and Virtual HLA Class II Matrices," <u>Nat. Biotech.</u> , 17(6):555-61.  |
| C405 | Suliman et al., (1996), "Cloning of a cDNA Encoding Bovine Erythropoietin and Analysis of Its Transcription in Selected Tissues," <u>Gene</u> , 171:275-80.   |
| C406 | Takahashi et al. (2000), "Immunologic Self-Tolerance Maintained by CD25+ CD4+ Regulatory T Cells Constitutively Expressing Cytotoxic T Lymphocyte-Associated Antigen," <u>J. Exp. Med.</u> , 192(2):303-309.  |
| C407 | Takai, (2002), "Roles of Fc Receptors in Autoimmunity," <u>Nat. Rev. Immunol.</u> , 2(8):580-92.  |
| C408 | Taniguchi et al., (1980), "Expression of the Human Fibroblast Interferon Gene in <i>Escherichia Coli</i> ," <u>Proc. Natl. Acad. Sci. USA</u> , 77:5230-5233.   |

EXAMINER

DATE CONSIDERED

|   |  |  |                 |
|---|--|--|-----------------|
| FORM PTO - 1449   |  | ATTORNEY DOCKET NO.: LEX-011   |                 |
| INFORMATION DISCLOSURE STATEMENT  |  | APPLICANT(S): Gillies et al.   |                 |
|  |  | SERIAL NO.: 09/780,668   | CONF. NO.: 8264 |
|   |  | FILING DATE: February 9, 2001  | GROUP: 1644     |
| OTHER ART, JOURNAL ARTICLES ETC.  |  |  |                 |
| EXAM. INIT.   | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) |  |                 |
|   | C409   | Thurner, (1999), "Generation of Large Numbers of Fully Mature and Stable Dendritic Cells from Leukapheresis Products for Clinical Application," <u>J. Immunol. Methods</u> , 223:1-15.   |                 |
|   | C410   | Tiruppathi <i>et al.</i> , (1996), "Isolation and Characterization of a Cell Surface Albumin-Binding Protein from Vascular Endothelial Cells," <u>Proc. Nat. Acad. Sci. USA</u> , 93:250-4.  |                 |
|   | C411   | Van Den Eynde <i>et al.</i> , (1989), "Presence on a Human Melanoma of Multiple Antigens Recognized By Autologous CTL," <u>Int. J. Cancer</u> , 44:634-40.   |                 |
|   | C412   | Van Der Bruggen <i>et al.</i> , (1991), "A Gene Encoding an Antigen Recognized by Cytolytic T Lymphocytes on a Human Melanoma," <u>Science</u> , 254:1643-7  |                 |
|   | C413   | Van Heyningen <i>et al.</i> , (1982), "Human MHC Class II Molecules as Differentiation Markers," <u>Immunogenetics</u> , 16:459-69   |                 |
|   | C414   | Voest <i>et al.</i> , (1995), "Inhibition of Angiogenesis in Vivo by Interleukin 12," <u>J. Natl. Canc. Inst.</u> , 87:581-6.  |                 |
|   | C415   | Von Heijne <i>et al.</i> , (1986), "A New Method for Predicting Signal Sequence Cleavage Sites," <u>Nucleic Acid Res.</u> , 14:4683-4690.  |                 |
|   | C416   | Ward <i>et al.</i> , (1995), "The Effector Functions of Immunoglobulins: Implications for Therapy," <u>Therapeutic Immunology</u> , 2:77-94.   |                 |
|   | C417   | Watson <i>et al.</i> , (1984), "Compilation of Published Signal Sequences," <u>Nucleic Acid Res.</u> , 12:5145-5164.   |                 |
|   | C418   | Weitkamp <i>et al.</i> , (1973), "Additional Data on the Population Distribution of Human Serum Albumin Genes; Three New Variants," <u>Ann. Hum. Genet.</u> , 37:219-26.   |                 |
|   | C419   | Wetzel <i>et al.</i> , (2001), "BAY50-4798, an Interleukin-2 (IL <sub>2</sub> ) Variant, demonstrates Selective Activation of Human and Chimpanzee T Cells Relative to NK Cells but Shows Less Selectivity for T Cells from Monkeys and Rodents," <u>ASCO Annual Meeting</u> , Abstract 1051.. |                 |
|   | C420   | Woof <i>et al.</i> , (1986), "Localisation of the Monocyte-Binding Region on Human Immunoglobulin G," <u>Mol. Immunol.</u> , 23:319-30.  |                 |
|   | C421   | Wu <i>et al.</i> , (1997), "Suppression of Tumor Growth with Recombinant Murine Angiostatin," <u>Biochemical and Biophysical Research Communications</u> , 236:651-654.  |                 |
|   | C422   | Wyatt <i>et al.</i> , (1998), "The Antigenic Structure of the HIV Gp120 Envelope Glycoprotein," <u>Nature</u> , 393:705-11.  |                 |
|   | C423   | Wysocka <i>et al.</i> , (1995), "Interleukin-12 is Required for Interferon-Gamma Production and Lethality in Lipopolysaccharide-Induced Shock in Mice," <u>Eur. J. Immunol.</u> , 25:672-6.  |                 |
|   | C424   | Yan <i>et al.</i> , (1996), "Characterization of an Ig VH Idiotope that Results in Specific Homophilic Binding and Increased Avidity for Antigen," <u>J. Immunol.</u> , 157:1582-8.  |                 |
|   | C425   | Yeh <i>et al.</i> , (1992), "Design of Yeast-Secreted Albumin Derivatives for Human Therapy: Biological and Antiviral Properties of a Serum Albumin-CD4 Genetic Conjugate," <u>Proc. Natl. Acad. Sci. USA</u> , 89:1904-8.   |                 |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

|   |  |   |                 |
|---|--|---|-----------------|
| FORM PTO - 1449   |  | ATTORNEY DOCKET NO.: LEX-011  |                 |
| INFORMATION DISCLOSURE STATEMENT  |  | APPLICANT(S): Gillies et al.  |                 |
|  |  | SERIAL NO.: 09/780,668  | CONF. NO.: 8264 |
|   |  | FILING DATE: February 9, 2001   | GROUP: 1644     |
| OTHER ART, JOURNAL ARTICLES ETC.  |  |   |                 |
| EXAM.<br>INIT.  | OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication) |   |                 |
|   | C426   | Zhang <i>et al.</i> , (1994), "Structure/Activity Analysis of Human Monocyte Chemoattractant Protein-1 (MCP-1) by Mutagenesis. Identification of a Mutated Protein that Inhibits MCP-1-Mediated Monocyte Chemotaxis," <u>J. Biol. Chem.</u> , 269:15918-24. |                 |
|   | C427   | Zhu <i>et al.</i> , (2001), "MHC Class I-Related Neonatal Fc Receptor for IgG is Functionally Expressed in Monocytes, Intestinal Macrophages and Dendritic Cells," <u>J. Immunol.</u> , 166:3266-3276.  |                 |
|   | C428   | Zuckier <i>et al.</i> , (1998), "Chimeric Human-Mouse IgG Antibodies with Shuffled Constant Region Exons Demonstrate that Multiple Domains Contribute to In Vivo Half-Life," <u>Cancer Res.</u> , 58(17):3905-8.  |                 |
| EXAMINER  |  | DATE CONSIDERED   |                 |

3166771

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|